

BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re UWEZO FRAZIER,)	Financial Disclosure Appeal No. FD 20-056
)	
Appellant.)	Final Order No.
_____)	

FINAL ORDER

This matter came before the Commission on Ethics, on its own motion, meeting in public session on January 26, 2024, in the matter of Uwezo Frazier, pursuant to Section 112.3145(8)(g), Florida Statutes, which assesses an automatic fine of \$25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant did not request a hearing before the Commission.

Findings of Fact

1. According to information provided to the Commission, Appellant served as a principal in the Miami-Dade County Public Schools system, a position that requires the filing of a CE Form 1, Statement of Financial Interests, for the year 2019. The designated due date for submitting a 2019 CE Form 1 annual filing was July 1, 2020, with a grace period ending on September 1, 2020.

2. No later than June 1, 2020, the Broward County Supervisor of Elections sent Appellant a 2019 CE Form 1. This mailing was sent to Miami-Dade County Public Schools – Principal, 1450 NE Second Avenue, Room 311, Miramar, FL 33027. It is unknown if the Appellant received this mailing.

3. On July 30, 2020, the Broward County Supervisor of Elections sent Appellant a Notice of Delinquency by certified mail. This notice was sent to the 1450 NE Second Avenue, Room 311 address. The notice was returned as undeliverable.

4. On August 20, 2020, the Commission mailed Appellant a postcard intended to remind him of his obligation to file a 2019 CE Form 1. The Commission mailed the postcard to 4672 SW 126th Avenue, Miramar, FL 33027-6052. It is unknown if Appellant received this mailing.

5. On September 9, 2020, eight days after the grace period expired, the Commission mailed Appellant a courtesy notice informing him that his 2019 CE Form 1 had not been received by the Broward County Supervisor of Elections and that he was being fined \$25 per day, up to a maximum of \$1,500. The Commission mailed this notice to the 4672 SW 126th Avenue address. It is unknown if Appellant received this mailing.

6. On February 27, 2023, the Commission sent Appellant a Notice of Assessment of Automatic Fine by certified mail to the 4672 SW 126th Avenue address, which indicated that Appellant had accrued a \$1,500 fine and informed him of his right to appeal the fine. It stated that the appeal had to be received by the Commission by March 29, 2023, to be considered timely. Postal records indicate the notice was received on March 3, 2023, and was signed for by an unknown recipient.

7. On June 12, 2023, the Commission received Appellant's completed 2019 CE Form 1.

8. On June 15, 2023, Commission investigator Keith Powell sent Appellant an email in which he reminded Appellant of their conversation days earlier and told Appellant that he would need to file an appeal with respect to his 2019 CE Form 1 in order to possibly avoid having a

hearing on whether his failure to file his 2019 CE Form 1 was willful. *See* § 112.3145(9)(c), Fla. Stat. Mr. Powell attached a 2020 Appeal Form (for Form Year 2019) and a 2021 Appeal Form (for Form Year 2020) to the email and stated that Appellant should complete and file the forms immediately.

9. On June 16, 2023, the Commission received Appellant's completed Appeal of Automatic Fine for Form Year 2019, which had been signed on June 16, 2023.

10. In his appeal, Appellant stated that he did not file his 2019 CE Form 1 timely, essentially due to lack of notice of his filing obligation. He explained that, at the time, limited personnel were available to open mail due to COVID-19 restrictions. He added that, when the clerical staff opened the mail, they did not review confidential mail, which caused such mail to sit idle without being addressed for some time. He stated that this hindered his ability to respond in a timely manner.

11. In a subsequent communication between the Commission's legal staff and Appellant, Appellant was asked whether he had signed for the certified letter containing the Notice of Assessment of Automatic Fine on March 3, 2023. Appellant stated that he had not signed for the letter and that it was possible one of his children had. Appellant stated that he never received the letter (which contained his appeal rights), however. Appellant stated that he did not become aware of his appellate rights until he appeared before the Commission on June 9, 2023, regarding another matter.

Conclusions of Law

12. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

13. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

14. Section 112.3145(8)(g)3., Florida Statutes, states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

15. Commission Rule 34-8.215, F.A.C., defines "unusual circumstances" as follows:

uncommon, rare or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements. Circumstances which allow for time in which to take those steps necessary to assure compliance with the filing requirements shall be deemed not to constitute unusual circumstances.

16. Appellant's appeal is accepted as timely. Although the notice containing appellate rights was sent to 4672 SW 126th Avenue, Miramar, FL 33027-6052 on February 27, 2023, which was Appellant's residential address at the time, he denies having received the notice and denies that he signed for the mailing. The record contains no evidence to dispute Appellant's contentions.

17. Regarding the merits of the appeal of his late 2019 CE Form 1, Appellant's reason for not filing his 2019 CE Form 1 timely was that personnel let confidential mail sit for some time. Consistent with Appellant's contention, the Notice of Delinquency sent by the Broward County

Supervisor of Elections to Appellant at the following address, Miami-Dade County Public Schools – Principal, 1450 NE Second Avenue, Room 311, was returned marked "no such number" and "unable to forward." Thus, there is no evidence that Appellant received notice of his filing obligation.

18. Due to the information provided to the Commission, including postal records and Appellant's statements in his appeal and subsequent email, we find Appellant's reason for appealing to constitute "unusual circumstances" that justify waiving the \$1,500 fine.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby waives the assessed fine of \$1,500.

ORDERED by the State of Florida Commission on Ethics meeting in public session on Friday, January 26, 2024.

Date Rendered

Ashley Lukis
Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

AL:kbh

Copy furnished to:

Mr. Uwezo Frazier
4672 SW 126th Avenue
Miramar, FL 33027

20-056

250766



STATE OF FLORIDA COMMISSION ON ETHICS

325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303
Telephone: (850) 488-7864
Fax: (850) 488-3077
Email: disclosure@leg.state.fl.us

FLORIDA
COMMISSION ON ETHICS

JUN 16 2023

RECEIVED

APPEAL OF AUTOMATIC FINE FOR FORM YEAR 2019

DIRECTIONS: The information you provide in this form is critical for processing your appeal in a timely manner.

In Part A, please provide current contact information. If your contact information changes while your appeal is being processed, please notify us.

In Part B, please check any boxes that specify the general reason(s) for your appeal.

In Part C, please explain in detail the reason(s) for your appeal. In addition to your written explanation in Part C, you may attach any documents that support your appeal.

IMPORTANT: TO PRESERVE YOUR RIGHT TO APPEAL, THIS FORM OR OTHER WRITTEN APPEAL (AND ANY ATTACHMENTS) MUST BE FILED WITH (RECEIVED BY) THE COMMISSION ON ETHICS WITHIN THIRTY (30) DAYS OF THE DATE THE NOTICE OF ASSESSMENT OF AUTOMATIC FINE WAS MAILED TO YOU.

PLEASE SEND YOUR COMPLETED FORM TO ONE OF THE FOLLOWING:

Mailing Address: Commission on Ethics
P.O. Drawer 15709
Tallahassee, FL 32317-5709

Physical Address: Commission on Ethics
325 John Knox Road
Building E, Suite 200
Tallahassee, FL 32303

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

PART A: YOUR INFORMATION

Name: Uwezo Frazier

Address: 4672 SW 126th Ave City: Miramar State: FL Zip: 33027

Daytime Tel.: 305 691 8324 Cell: 786 258 6285

Email: ufrazier@dadeschools.net Filer ID# (if known): _____

Public Employer: Miami-Dade County Public Schools

Public Position: Principal

CONTINUED ON REVERSE SIDE

PART B: GENERAL REASON(S) FOR YOUR APPEAL

Please choose any/all reasons that apply to your appeal.

I hereby appeal the Notice of Assessment of Automatic Fine on the following basis:

- a. **Sickness or injury** (Explain in Part C and attach a statement from attending physician, including dates and nature of illness or injury)
- b. **Lack of notification – Failure to receive notice** (Explain in Part C and provide documentation that supports your assertion that you never received certified mail delinquency notice: for example, incorrect address; misdelivered mail; change in employment; extended absence from home, etc.)
- c. **Claim of timely filing of financial disclosure** (Explain in Part C and provide copy of certified mail receipt and/or copy of completed form which had been previously filed, along with a sworn notarized statement that you filed prior to the deadline)
- d. **Left public position prior to December 31, 2019** (Explain in Part C and provide confirmation from agency that your office-holding/employment ended before 12/31/2019)
- e. **Other unusual circumstance** (Explain in Part C and provide documentation explaining uncommon, rare, or sudden occurrence that prevented timely filing prior to deadline)
- f. **Not required to file** (Explain in Part C and provide documentation that supports reason for not required to file)

PART C: DETAILED EXPLANATION OF YOUR APPEAL

Please provide a detailed explanation of your appeal, including why each option you selected in Part B is applicable to you. You may use the space provided and/or attach additional pages.

During the time frame of this lapse in signature limited personnel were available to open mail due to Covid-19 restrictions. When mail was opened clerical would not review the confidential mail which caused documents under this distinction to sit idle without being addressed for sometime this hindered my ability to respond to requests in a timely manner.

OPTIONAL REQUEST FOR HEARING

In addition to this written appeal, I specifically request to appear before the Commission in a hearing pursuant to Section 112.3144(8)(f)3 or Section 112.3145(8)(g)3, Florida Statutes. Commission meetings occur in Tallahassee.

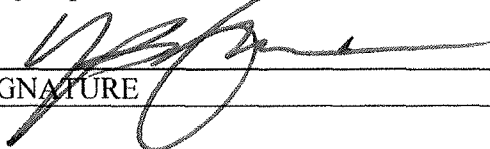
SIGNATURE

I have received and read the Notice of Assessment of Automatic Fine and its instructions on How to Appeal and I understand my options. I am requesting disposition of this matter as indicated.

06/16/23

DATE

SIGNATURE



FORM 1

**STATEMENT OF
FINANCIAL INTERESTS**

2019

Please print or type your name, mailing address, agency name, and position below:

FOR OFFICE USE ONLY:

LAST NAME -- FIRST NAME -- MIDDLE NAME :

Frazier, Uwezo

MAILING ADDRESS :

4672 Sw 126th Ave, Miramar, Florida 33027

CITY :

ZIP :

COUNTY :

Miramar 33027 Broward

NAME OF AGENCY :

Miami-Dade County Public Schools

NAME OF OFFICE OR POSITION HELD OR SOUGHT :

Principal

CHECK ONLY IF CANDIDATE OR NEW EMPLOYEE OR APPOINTEE

Received
6/12/2023

250766

PROCESSED

**** THIS SECTION MUST BE COMPLETED ****

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2019.

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

COMPARATIVE (PERCENTAGE) THRESHOLDS

OR

DOLLAR VALUE THRESHOLDS

PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY
MDC Public Schools	1501 NE 2nd Ave Miami, FL 33132	Education

PART B -- SECONDARY SOURCES OF INCOME

[Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions]
(If you have nothing to report, write "none" or "n/a")

4672 SW 126th Ave. Miramar, FL 33027

You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.

PART D — INTANGIBLE PERSONAL PROPERTY [Stocks, bonds, certificates of deposit, etc. - See instructions] (If you have nothing to report, write "none" or "n/a")	
TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES

PART E — LIABILITIES [Major debts - See instructions] (If you have nothing to report, write "none" or "n/a")	
NAME OF CREDITOR	ADDRESS OF CREDITOR

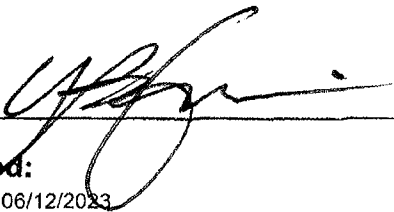
PART F — INTERESTS IN SPECIFIED BUSINESSES [Ownership or positions in certain types of businesses - See instructions] (If you have nothing to report, write "none" or "n/a")		
	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
NAME OF BUSINESS ENTITY		
ADDRESS OF BUSINESS ENTITY		
PRINCIPAL BUSINESS ACTIVITY		
POSITION HELD WITH ENTITY		
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS		
NATURE OF MY OWNERSHIP INTEREST		

PART G — TRAINING
For elected municipal officers required to complete annual ethics training pursuant to section 112.3142, F.S.

I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.

IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE

SIGNATURE OF FILER:

Signature: _____


Date Signed: _____
06/12/2023

CPA or ATTORNEY SIGNATURE ONLY

If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:

I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.

CPA/Attorney Signature: _____

Date Signed: _____

FILING INSTRUCTIONS:

If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.

Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. Do not email your form to the Commission on Ethics. It will be returned.

State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format), send it to CEForm1@leg.state.fl.us and retain a copy for your records. Do not file by both mail and email. Choose only one filing method. Form 6s will not be accepted via email.

Candidates file this form together with their filing papers.

MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.

WHEN TO FILE: Initially, each local officer/employee, state officer, and specified state employee must file **within 30 days** of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.

Candidates must file at the same time they file their qualifying papers.

Thereafter, file by July 1 following each calendar year in which they hold their positions.

Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does not relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2019.

Heyward, Katharine

From: Frazier, Uwezo B. <ufrazier@dadeschools.net>
Sent: Tuesday, October 3, 2023 8:01 PM
To: Heyward, Katharine
Subject: RE: Your appeals of Automatic Fines for CE Form Years 2019 and 2020

Good evening Ms. Heyward,

As it pertains to the questions below:

- 1) Is that your signature on the second page of the USPS Tracking Information attachment?

No. The signature on the document is not mine.

- 2) If not, who signed for the certified mail envelope?

I'm assuming it was one of my children. However they don't recall signing for it.

- 3) If someone else signed for the certified mail envelope, did you receive the envelope, which contained the Notice of Assessment of Automatic Fine for your 2019 CE Form 1 and the Notice of Assessment of Automatic Fine for your 2020 CE Form 1?

I did not receive the documents.

- 4) If you signed for the certified mail envelope or if you received the envelope from another person who signed for it, why didn't you file your appeals of the these Notices of Assessment of Automatic Fines for your 2019 and 2020 CE Form 1's by March 29, 2023, which is the deadline specified in the Notices? Your appeals were filed on June 16, 2023.

I did not sign for or receive the envelope. I was made aware after appearing in front of the Commission on Ethics. I filled out the forms immediately after.

Uwezo Frazier

From: Heyward, Katharine <HEYWARD.KATHARINE@leg.state.fl.us>
Sent: Wednesday, September 20, 2023 3:52 PM
To: Frazier, Uwezo B. <ufrazier@dadeschools.net>
Subject: Your appeals of Automatic Fines for CE Form Years 2019 and 2020

CAUTION: This email originated outside of dadeschools.net. Do not click on links or attachments unless you are expecting the email or recognize the sender and know the message is safe.

Mr. Frazier,

Your appeals of the \$1500 fines with respect to the late filing of your 2019 and 2020 CE Form 1 financial disclosure forms have been assigned to me. I have attached the Notices of Assessment of Automatic Fines for your 2019 and 2020 CE Form 1's and the U.S. Post Office's tracking information for the certified mail envelope in which these notices were sent. Please review the signature for the receipt of the certified mail envelope on the second page of the USPS Tracking Information attachment above. Both notices were sent in the same certified envelope. Please answer the following:

- 1) Is that your signature on the second page of the USPS Tracking Information attachment?
- 2) If not, who signed for the certified mail envelope?
- 3) If someone else signed for the certified mail envelope, did you receive the envelope, which contained the Notice of Assessment of Automatic Fine for your 2019 CE Form 1 and the Notice of Assessment of Automatic Fine for your 2020 CE Form 1?
- 4) If you signed for the certified mail envelope or if you received the envelope from another person who signed for it, why didn't you file your appeals of the these Notices of Assessment of Automatic Fines for your 2019 and 2020 CE Form 1's by March 29, 2023, which is the deadline specified in the Notices? Your appeals were filed on June 16, 2023.

I will need your responses to these questions **by October 4, 2023**, in order for this information to be taken into consideration as part of your appeals.

Thank you.

Katharine B. Heyward

Attorney

Florida Commission on Ethics

P.O. Drawer 15709

Tallahassee, FL 32317-5709

(850) 488-7864

(850) 488-3077 (Fax)

www.ethics.state.fl.us

Physical address:

325 John Knox Road

Building E, Suite 200

Tallahassee, FL 32303

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Uwezo Frazier**
Principal
Employees
Miami-Dade County Public Schools

PID#: 250766

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2019 CE Form 1, Statement Of Financial Interests. Under the law, your 2019 CE Form 1, Statement of Financial Interests, was due by July 1, 2020. The law provided for a penalty-free grace period extending the due date to September 1, 2020. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, up to the maximum fine of \$1,500.00 (60 days late), pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2019 CE Form 1 has not been filed with the Supervisor of Elections for Broward County within the 60 days of the grace period date (September 1, 2020), you have accrued the maximum fine amount of \$1,500.00. This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3., Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **March 29, 2023**. **NOTE:** Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 1, 2020, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;
 - b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;

- c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
- d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
- e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2019:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2019; or
- f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

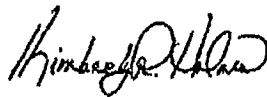
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

Uwezo Frazier
4672 Sw 126th Ave
Miramar, FL 33027 -6052

by Certified Mail on this Monday, February 27, 2023.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

Tel.: (850) 488-7864

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us

FORM 1

STATEMENT OF FINANCIAL INTERESTS

2019

FOR OFFICE USE ONLY:

Uwezo Frazier
Principal
Miami-Dade County Public Schools
Employees
4672 Sw 126th Ave
Miramar FL 33027 -6052



250766

Frazier Uwezo

CHECK ONLY IF [] CANDIDATE OR [] NEW EMPLOYEE OR APPOINTEE

**** THIS SECTION MUST BE COMPLETED ****

DISCLOSURE PERIOD:

THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2019.

MANNER OF CALCULATING REPORTABLE INTERESTS:

FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one):

[] COMPARATIVE (PERCENTAGE) THRESHOLDS OR [] DOLLAR VALUE THRESHOLDS

PART A - PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 3 columns: NAME OF SOURCE OF INCOME, SOURCE'S ADDRESS, DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY

PART B - SECONDARY SOURCES OF INCOME [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 4 columns: NAME OF BUSINESS ENTITY, NAME OF MAJOR SOURCES OF BUSINESS' INCOME, ADDRESS OF SOURCE, PRINCIPAL BUSINESS ACTIVITY OF SOURCE

PART C - REAL PROPERTY [Land, buildings owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")

Table with 1 column for listing real property

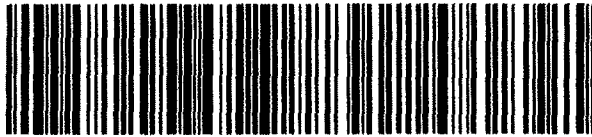
You are not limited to the space on the lines on this form. Attach additional sheets, if necessary.

FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2.

INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.



STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709



9214 8901 0661 5400 0183 6500 74

RETURN RECEIPT (ELECTRONIC)

250766

UWEZO FRAZIER
4672 SW 126TH AVE
MIRAMAR, FL 33027-6052

URGENT: OPEN
IMMEDIATELY!

CUT / FOLD HERE

Zone 4

6"X9" ENVELOPE
CUT / FOLD HERE

CUT / FOLD HERE

[Print this page](#)**Mail Piece Details****Recipient Address**

UWEZO FRAZIER
4672 SW 126TH AVE
MIRAMAR, FL 33027-6052

Record / Case Number:
250766

Return Address

STATE OF FLORIDA
COMMISSION ON ETHICS
PO DRAWER 15709
TALLAHASSEE, FL 32317-5709

Mail Piece Information

Tracking Number: 92148901066154000183650074

Date Created: 02/27/2023 12:55:00 PM

Mail Class: USPS First Class Mail

Special Services: Certified Mail
Return Receipt Electronic

Memo: 2019 FY Resend and 2020 FY Notice of Assessed Fines

Created By: Kimberly Holmes - Commission on Ethics

Signature Information

Signed For By: UWEZO FRAZIER

Signature Status: Available ([Click Here](#))

Having issues viewing the signature file?

Make sure you are using the latest version of Adobe Acrobat Reader

Tracking Information

Pre-Shipment Info Sent To Usps, Usps Awaiting Item, February 27, 2023, 12:00:00 AM

Pre-Shipment Info Sent Usps Awaits Item, February 27, 2023, 12:09:00 PM, TALLAHASSEE,FL 32317

Accepted At Usps Origin Facility, February 27, 2023, 10:09:00 PM, TALLAHASSEE,FL 32317

Arrived At Usps Regional Origin Facility, February 27, 2023, 11:24:00 PM

In Transit, Arriving On Time, February 28, 2023, 12:00:00 AM

Arrived At Usps Regional Destination Facility, March 01, 2023, 10:26:00 AM

Processed Through Usps Facility, March 01, 2023, 06:39:00 PM, MIAMI FL DISTRIBUTION CENTER 33152

Processed Through Usps Facility, March 01, 2023, 07:48:00 PM, MIAMI FL DISTRIBUTION CENTER 33152

In Transit To Next Facility, March 02, 2023, 12:00:00 AM

Delivered, Left With Individual, March 03, 2023, 06:29:00 PM, HOLLYWOOD,FL 33027



March 4, 2023


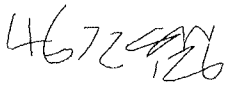
Dear MAIL MAIL:

The following is in response to your request for proof of delivery on your item with the tracking number:
9214 8901 0661 5400 0183 6500 74.

Item Details

Status:	Delivered, Left with Individual
Status Date / Time:	March 3, 2023, 6:29 pm
Location:	HOLLYWOOD, FL 33027
Postal Product:	First-Class Mail®
Extra Services:	Certified Mail™ Return Receipt Electronic
Recipient Name:	UWEZO FRAZIER

Recipient Signature

Signature of Recipient:	
Address of Recipient:	

Note: Scanned image may reflect a different destination address due to Intended Recipient's delivery instructions on file.

Thank you for selecting the United States Postal Service® for your mailing needs. If you require additional assistance, please contact your local Post Office™ or a Postal representative at 1-800-222-1811.

Sincerely,
United States Postal Service®
475 L'Enfant Plaza SW
Washington, D.C. 20260-0004

The customer reference information shown below is not validated or endorsed by the United States Postal Service. It is solely for customer use.

Reference ID: 92148901066154000183650074
250766
UWEZO FRAZIER
4672 SW 126th Ave
Miramar, FL 33027-6052
2019 FY Resend and 2020 FY Notice of Assessed Fines

Holmes, Kim

From: Powell, Keith
Sent: Thursday, June 15, 2023 11:11 AM
To: ufrazier@dadeschools.net
Cc: Maleszewski, Tracey; Holmes, Kim
Subject: Financial Disclosure Appeals
Attachments: FineAppeal2020.pdf; FineAppeal2021.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Mr. Frazier –

Thank you for filing your CE Form 1s for 2018, 2019, 2020, and 2022 following our discussion on Friday. As we also discussed on Friday following the Commission on Ethics Probable Cause Hearing, you will need to file appeals for the years 2019 and 2020 to avoid finding yourself in a similar position in the future since those forms were filed late. To assist you in that effort, I have attached both the 2020 (for Form Year 2019) and 2021 (for Form Year 2020) Appeal Forms which you should complete and file immediately. If you have any questions, please contact this office and speak to someone in our Financial Disclosure Section.

Regards -

A. Keith Powell

Investigations Manager
Florida Commission on Ethics
850-488-7864



**Florida Commission on Ethics
Financial Disclosure Notification System
Delinquency Certification (2020)**

I PETER ANTONACCI, the Supervisor of Elections of BROWARD County, hereby certify that each person whose ID number, name, agency, and position appears above or on the attached list:

(1) was sent a notice of the July 1, 2020 financial disclosure deadline and a blank Form 1, Statement of Financial Interests, not later than June 1, 2020;

(2) was determined to be delinquent in filing a Form 1, Statement of Financial Interests, by July 1, 2020;

(3) was sent a delinquency notice by certified mail not later than July 31, 2020 advising him or her of the grace period in effect until September 1, 2020; and of the penalties that could be imposed as provided in Section 112.3145(8)(c), Florida Statutes; and

(4) did not file a Form 1, Statement of Financial Interests, until the date shown or, had not filed a Form 1, Statement of Financial Interests by October 31, 2020; and further

(5) that the date of filing shown is based upon the earliest of the following:
(a) when the Form 1 was actually received by my office;
(b) when the Form 1 was postmarked;
(c) when the certificate of mailing (if any) was dated; or
(d) when the receipt (if any) from an established courier company was dated.

Signed _____ 11/2/2020
SUPERVISOR OF ELECTIONS

Broward County Supervisor of Election
 Broward Governmental Center
 115 S. Andrews Avenue, Room 102
 Fort Lauderdale, FL 33301

CERTIFIED MAIL



2020 SEP -4 11 PM 01:05
 7018 0680 0000 0647 8979



U.S. POSTAGE
 ZIP 33301
 02 4M
 0000369079 JUL 30 2020
\$ 007.05

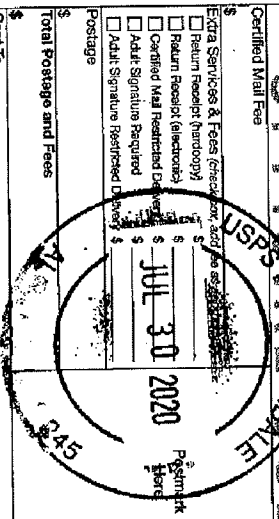
Uwezo Frazier - 250766
 Miami-Dade County Public Schools - Principal
 1450 NE Second Avenue, Room 311
 Miramar, FL 33027

NIXIE 531 DE 1 0009/01/20
 RETURN TO SENDER
 NO SUCH NUMBER
 UNABLE TO FORWARD
 BC: 33301189699 *2958-01314-30-44
 3 990291 698

**U.S. Postal Service™
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OFFICE OF ELECTIONS



Certified Mail Fee \$
 Extra Services & Fees (check box, and fee amount)
 Return Receipt (hardcopy) \$
 Return Receipt (electronic) \$
 Certified Mail Restricted Delivery \$
 Adult Signature Required \$
 Adult Signature Restricted Delivery \$
Postage \$
Total Postage and Fees \$

Sent To **Uwezo Frazier**
 Street and Apt. No. or P.O. Box No.
4672 SW 126th Ave
 City, State, Zip+4, FL 33027

PS Form 3800, April 2015 PSN 7530-02-990-0047 See Reverse for Instructions

7018 0680 0000 0647 8979



Financial Disclosure Management System
THE FLORIDA COMMISSION ON ETHICS

Filer - Fines and Appeals - PID 250766 - Uwezo Frazier

Filer Information

Org Membership

Forms

Communications

Fines and Appeals >

View All

Filer Flags

[2000](#) [2001](#) [2002](#) [2003](#) [2004](#)
[2005](#) [2006](#) [2007](#) [2008](#) [2009](#)
[2010](#) [2011](#) [2012](#) [2013](#) [2014](#)
[2015\(\\$\)](#) [2016\(\\$\)](#) [2017](#) [2018\(\\$\)](#)
[2019\(\\$\)](#) [2020\(\\$\)](#) [2021](#) [2022](#)

<<2022 Form Year

Status

Filing: ACTIVE

Fine: No Fine

Flags

Public Address

Filing Extensions

Indefinite: None

Temporary:

None

Eligible for Fines

Update Flags

The filer has fines for: [2021 \(Appeal\)](#) [2020 \(Appeal\)](#) [2019 \(Paid\)](#) [2017 \(Paid\)](#) [2016 \(Paid\)](#) [view all](#)


2020 Fines and Appeals


Form Year 2019 Filed Forms						
Received Date	Form Type	Form Signed	Filed by Email	Filing Location	Updated	Comments
06/12/23	Form 1	Yes	No	SOE	holmesk on 06/14/2023	Received by COE on behalf of Broward Supervisor of Elections

2020 Fine Information					Update Fine Information		
					Assign Agency Contact		
Fine Balance	Fine Status	Fine Date	Original Assessment	Fine Amount	Last Payment Date	Payment Plan Start Date	Payment Plan Amount
\$1,500.00	Appeal	6/11/2021	\$1,500.00	\$1,500.00			
Fine Address 4672 Sw 126th Ave Miramar FL 33027-6052 Org/Suborg Miami-Dade County Public Schools-Employees							

2020 Fine Payment History					
Date Posted	Description	Amount	Method	Payment ID	Comments
6/11/2021	Fine Levied	+ \$1,500.00			Fined \$1500.00
Current Balance: \$1,500.00					




 Add a New Filer

 Jump To A Filer
 PID:

 Quick Filer Search
 First Name:
 Last Name:


2020 Fine Year Event

Chronology

 Date	Type	Description	Reference
 08/20/2020	Postcard Sent	Courtesy Postcard Reminder	Print Queue: <u>8/20/2020</u> Printing Confirmed: <u>8/20/2020</u>
<div style="border: 1px solid black; padding: 5px;"> <p>Letter Sent To: Uwezo Frazier 4672 Sw 126th Ave Miramar, FL 33027 -6052</p> </div>			
 09/9/2020	Letter Sent	Courtesy Notice of Fines Accruing	Print Queue: <u>9/9/2020</u> Printing Confirmed: <u>9/9/2020</u>


Letter Sent To:
 Uwezo Frazier
 4672 Sw 126th Ave
 Miramar, FL 33027 -6052

06/11/2021	Fine Levied	Fined \$1500.00	Journal: <u>6/11/2021</u> <u>9:49 AM</u>
07/20/2021	Notice of Assessed Fine Invalidated	Initial Fine Notice. Invalidated Reason: Resend due to nonconfirmation of delivery by USPS	Journal: <u>7/20/2021</u> <u>1:47 PM</u>

 07/20/2021	Letter Sent	Notice of Assessed Fine - Filer 1st Fine Letter	Print Queue: <u>7/20/2021</u> Printing Confirmed: <u>7/20/2021</u>
--	-------------	---	---

Letter Sent To:
 Uwezo Frazier
 4672 Sw 126th Ave
 Miramar, FL 33027 -6052

02/27/2023	Notice of Assessed Fine	Initial Fine Notice	Journal: <u>2/27/2023</u> <u>12:08 PM</u>
------------	-------------------------	---------------------	--

 02/27/2023	Letter Sent	Notice of Assessed Fine - Filer	Print Queue:
--	-------------	---------------------------------	--------------

1st Fine Letter

2/27/2023

Printing Confirmed:

2/27/2023

Letter Sent To:

Uwezo Frazier
 4672 Sw 126th Ave
 Miramar, FL 33027 -6052

06/12/2023 Form Received
 Form 1 Received, Signed
 Form 1 Received by Received by COE on behalf of Broward Supervisor of Elections SOE

Form Received By:

Filing Location: Broward County SOE
 Record Created By: Kimberly Holmes on 06/14/2023

06/29/2023 Fine Appeal FD 20-056

Journal: 6/29/2023

12:21 PM

06/29/2023 Letter Sent Fine Appeal

Print Queue:

6/29/2023

Printing Confirmed:

6/29/2023

Letter Sent To:

Uwezo Frazier
 4672 Sw 126th Ave
 Miramar, FL 33027 -6052

2020 Fine Appeal – FD 20-056	Update Appeal	Withdraw Appeal
	Assign Attorney	Request More Info
Record Appeal Outcome		
Appeal Status: Active Appeal Receipt Date: 06/16/2023 Timely Filed: No Print Appeal Letter: Yes	No Hearing Requested	

	<p>Hearing Requested: No Appeal Reason: Lack of Notification Appeal Notes: Appeal Number: FD 20-056 Appeal Analyst Assigned: Final Order Number: Final Order Date:</p>	
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